

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Manoj Ramprasad SHAH, et al.  
Title: BRUSHLESS EXCITER WITH ELECTROMAGNETICALLY  
DECOUPLED DUAL EXCITATION SYSTEMS FOR STATER-  
GENERATOR APPLICATIONS  
Serial No.: To Be Assigned.  
Art Unit: To Be Assigned.  
Filing Date: HEREWITH  
Examiner: To Be Assigned.  
Date: January 27, 2004

**INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

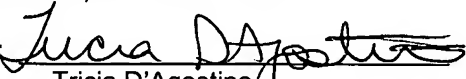
- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ a. The required Certification made in item 5 below; or
- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 as Express Mail No. EL983568658US on January 27, 2004 and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature:

  
Tricia D'Agostino

Date: January 27, 2004

☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

- a) The required Certification is stated in item 5 below; and
- b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☐ 5. Certification

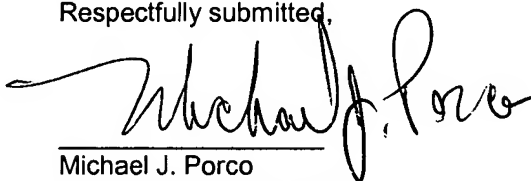
- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

☐ 6. Payment of all applicable fees:

- ☐ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. \_\_\_\_\_.
- ☐ Enclosed is a check in the amount of \$\_\_\_\_\_ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,



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Form PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO. 136967 (1306-49)	SERIAL NO. Not Yet Assigned
APPLICANTS Manoj Ramprasad SHAH et al.		
FILING DATE Concurrently Herewith		GROUP ART UNIT Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,908,161	9/23/75	Messenger			
		4,093,869	6/6/78	Hoffmann et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	